

FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Registration Number: 134
Statement as on: 30th September 2021
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

Name of Fund : Universal Sampo

(Amount in Rs. Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A. During the Quarter ¹									
1	NIL								
B. As on Date ²									
1	7.77% CanFin Homes Ltd - 15-Nov-2021 SRNCD 2016 - SERIES 3	HTDN	500.00	21-Nov-2016	FITCH	AA+	AA	18-Dec-19	No change in category
2	7.77% CanFin Homes Ltd - 15-Nov-2021 SRNCD 2016 - SERIES 3	HTDN	500.00	21-Nov-2016	ICRA	AAA	AA+	06-May-19	No change in category
3	7.65% IL&FS - 26-Jul-2022	OLDB	1000.00	26-Jul-2017	ICRA	BB	D	17-Sep-18	Change in category
4	7.65% IL&FS - 26-Jul-2022	OLDB	1000.00	26-Jul-2017	ICRA	AAA	BB	09-Sep-18	Change in category

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 Investment Regulations, as amended from time to time, to be referred